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## Upsurge Investment & Finance Ltd.

January 7<sup>th</sup> 2022

To,  
**BSE Ltd.,**  
**Listing Department,**  
P.J. Towers, 1<sup>st</sup> Floor,  
Dalal Street, Fort,  
Mumbai - 400 001

**Scrip Code: 531390**

**Sub:** Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 31<sup>st</sup> December, 2021.

Dear Sir,

With reference to the Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, enclosed is the certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the Quarter ended 31<sup>st</sup> December, 2021 received from Adroit Corporate Services Private Limited, the Registrar and Transfer Agents of the Company.

Kindly acknowledge the receipt, and please take the same on record.

Thanking you.

Yours faithfully,

**For Upsurge Investment and Finance Limited**

  
**Dayakrishna Goyal**  
**Managing Director**



03 January 2022

To,  
UPSURGE INVESTMENT & FINANCE LIMITED  
303 MORYA LANDMARK-I  
BEHIND CRYSTAL PLAZA  
OFF NEW LINK ROAD ANDHERI WEST  
MUMBAI 400053

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and participants) Regulations, 2018.**

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,  
For **Adroit Corporate Services Pvt. Ltd.**



**Authorized Signatory.**

19-20 Jaferbhoy Industrial Estate, Makwana Road, Marol Naka, Andheri (E), Mumbai, Maharashtra, 400 059.

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